

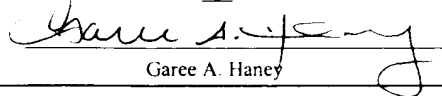


# 3

PATENT  
Docket No. 286002021121

**CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231, on June 13, 2002.

  
Garee A. Haney

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE **RECEIVED**

JUN 25 2002

In the application of:

Chaitan KHOSLA and Blaine PFEIFER

Serial No.: 10/087,451

Filing Date: February 28, 2002

For: BIOSYNTHESIS OF POLYKETIDE  
SYNTHASE SUBSTRATES

Examiner: To Be Assigned **TECH CENTER 1600/2900**

Group Art Unit: 1652

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. A copy of the documents were previously submitted in an Information Disclosure Statement and/or Office Action, directed to the related application Serial Numbers 09/687,855, filed October 13, 2000; and 09/798,033, filed February 28, 2001, and, accordingly, copies are not included herewith. This protocol conforms with 37 C.F.R. § 1.98(d) and M.P.E.P. 609(A)(2). The Examiner is requested to make these documents of record in the application. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
  - ☐ A fee is required. A check in the amount of \* is enclosed.
  - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of \* is enclosed.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

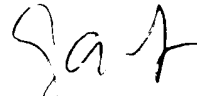
The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to

charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 286002021121. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: June 2, 2002

Respectfully submitted,

By:   
\_\_\_\_\_  
Carolyn A. Favorito  
Registration No. 39,183

Morrison & Foerster LLP  
3811 Valley Centre Drive  
Suite 500  
San Diego, California 92130-2332  
Telephone: (858) 720-5195  
Facsimile: (858) 720-5125

Form PTO-1449

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

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Application Number 10/087,451

Applicant

Chaitan KHOSLA and Blaine PFLEIFER

Filing Date: February 28, 2002

Group Art Unit: 1652

Mailing Date: June 13, 2002

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## U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
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## FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
	1.	03/30/95	WO 9508548	WO			
	2.	06/25/98	WO 9827203	WO			
	3.	04/22/99	WO 9919518	WO			
	4.	01/28/99	WO 9903986	WO			
	5.	04/13/00	WO 0020601	WO			

## OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

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	6.	An <i>et al.</i> (1998). <i>Eur. J. Biochem.</i> 257:395-402
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	11.	Du, L. <i>et al.</i> (2000). <i>Chem Biol</i> 7:623-640.
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	16.	Hsieh, Y-J <i>et al.</i> (1994). <i>Journal of Bacteriology</i> 176(3):714-724.
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DATE CONSIDERED:

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| 37. | Ziermann, R. <i>et al.</i> (1999). <i>Biotechniques</i> 26(1):106-110.   |

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